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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/801,289	03/16/2004	Bernd-Georg Pietras	MRKS/0028C1	3066
75	90 05/06/2005		EXAM	INER
William B. Patterson			COLLINS, GIOVANNA M	
MOSER, PATTERSON & SHERIDAN, LLP 3040 Post Oak Blvd., Suite 1500 Houston, TX 77056			ART UNIT	PAPER NUMBER
			3672	
			DATE MAILED: 05/06/2005	

Please find below and/or attached an Office communication concerning this application or proceeding.

		Application No.	Applicant(s)			
		10/801,289	PIETRAS, BERND-GEORG			
	Office Action Summary	Examiner	Art Unit			
		Giovanna M. Collins	3672			
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply						
THE M - Extens after Si - If the p - If NO p - Failure Any rej	RTENED STATUTORY PERIOD FOR REPLY AILING DATE OF THIS COMMUNICATION. ions of time may be available under the provisions of 37 CFR 1.13 IX (6) MONTHS from the mailing date of this communication. eriod for reply specified above is less than thirty (30) days, a reply eriod for reply is specified above, the maximum statutory period we to reply within the set or extended period for reply will, by statute, ply received by the Office later than three months after the mailing patent term adjustment. See 37 CFR 1.704(b).	36(a). In no event, however, may a reply be time within the statutory minimum of thirty (30) days will apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE	nely filed s will be considered timely. the mailing date of this communication. D (35 U.S.C. § 133).			
Status		•				
1)⊠ F	1) Responsive to communication(s) filed on <u>24 January 2005</u> .					
2a)⊠ 1	This action is <b>FINAL</b> . 2b) ☐ This	action is non-final.				
	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.					
Dispositio	n of Claims					
5)⊠ ( 6)⊠ ( 7)□ (	4) ⊠ Claim(s) 13-19 and 21-30 is/are pending in the application. 4a) Of the above claim(s) is/are withdrawn from consideration.  5) ⊠ Claim(s) 21-29 is/are allowed.  6) ⊠ Claim(s) 13-19 and 30 is/are rejected.  7) □ Claim(s) is/are objected to.  8) □ Claim(s) are subject to restriction and/or election requirement.					
Applicatio	n Papers					
9)□ ⊤	9)☐ The specification is objected to by the Examiner.					
	D)⊠ The drawing(s) filed on <u>16 March 2004</u> is/are: a)□ accepted or b)⊠ objected to by the Examiner.					
	Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).					
	Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.					
Priority un	ider 35 U.S.C. § 119					
<ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>						
Attachment(s						
	of References Cited (PTO-892) of Draftsperson's Patent Drawing Review (PTO-948)	4) 🔲 Interview Summary Paper No(s)/Mail Da				
3) 🔲 Informa	of Draftsperson's Patent Drawing Review (PTO-948) ation Disclosure Statement(s) (PTO-1449 or PTO/SB/08) No(s)/Mail Date		atent Application (PTO-152)			

#### **DETAILED ACTION**

### **Drawings**

1. The drawings are objected to under 37 CFR 1.83(a). The drawings must show every feature of the invention specified in the claims. Therefore, the top drive must be shown or the feature(s) canceled from the claim(s). No new matter should be entered.

Corrected drawing sheets in compliance with 37 CFR 1.121(d) are required in reply to the Office action to avoid abandonment of the application. Any amended replacement drawing sheet should include all of the figures appearing on the immediate prior version of the sheet, even if only one figure is being amended. The figure or figure number of an amended drawing should not be labeled as "amended." If a drawing figure is to be canceled, the appropriate figure must be removed from the replacement sheet, and where necessary, the remaining figures must be renumbered and appropriate changes made to the brief description of the several views of the drawings for consistency. Additional replacement sheets may be necessary to show the renumbering of the remaining figures. Each drawing sheet submitted after the filing date of an application must be labeled in the top margin as either "Replacement Sheet" or "New Sheet" pursuant to 37 CFR 1.121(d). If the changes are not accepted by the examiner, the applicant will be notified and informed of any required corrective action in the next Office action. The objection to the drawings will not be held in abeyance.

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## Claim Rejections - 35 USC § 102

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2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 3. Claims 13-19 and 30 are rejected under 35 U.S.C. 102(b) as being anticipated by Willis (5,036,927).

Willis discloses (see Fig. 1) an apparatus for facilitating making of a connection between an upper tubular and a lower tubular, comprising a top drive (12) having a tubular engagement tool (30,50); and a suspension unit (14,28) operatively connected to the top drive, the suspension unit configured for compensating the tubular engagement tool in order to compensate for movement of the upper tubular (col. 2, lines 48-55).

Referring to claim 14, Willis discloses the suspension unit (14,28) includes at least one piston and cylinder (28) to raise and lower the upper tubular.

Referring to claim 17, Willis discloses suspension unit (14,28) enables movement of the upper tubular in an axial direction with respect to the tubular to compensate for movement of the upper tubular.

Referring to claim 18, Willis disclose the suspension unit comprises spherical bearings (66).

Referring to claim 19, Willis discloses a mud pipe (22) for delivering mud to the tubulars.

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Referring to claim 30, Willis discloses the engagement tool includes at least one gripping element (50).

## Allowable Subject Matter

4. Claims 21-29 are allowed.

## Response to Arguments

- 5. Applicant's arguments filed 1/24/05 have been fully considered but they are not persuasive. Referring to argument concerning Willis reference, the Applicant does not recite in the claims that the suspension unit moves the tubular only that it is configured for compensating the tubular engagement tool in order to compensate for movement of the upper tubular and the suspension unit disclosed by Willis is configured for compensating the tubular engagement tool (col. 2, lines 48-55).
- 6. Applicant's arguments with respect to claim 18 have been considered but are most in view of the new ground(s) of rejection.

#### Conclusion

7. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

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A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Giovanna M. Collins whose telephone number is 571-272-7027. The examiner can normally be reached on 6:30-3 M-F.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, David J. Bagnell can be reached on 571-272-6999. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR.

DAVID BAGNELL SUPERVISORY PATENT EXAMINER TECHNOLOGY CENTER 3600 Application/Control Number: 10/801,289 Page 6

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Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov.

Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

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> Supervisory Patent Examiner Technology Center 3670